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ATTORNEY DOCKET NO. STEM1170-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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I.D.S.

Applicants: Upshall et al.
Serial No.: 09/961,227
Filed: September 20, 2001
Title: METHOD OF TREATMENT OF TUMORS USING TRANSFORMING
GROWTH FACTOR-ALPHA

Art Unit: 1615

Examiner To be assigned

Commissioner for Patents
Washington, D.C. 20231

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TRANSMITTAL SHEET

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Sir:

Transmitted herewith for the above-identified application, please find:

- (1) An Information Disclosure Statement (2 pages);
- (2) A Form PTO-1449 (1 page);
- (3) Three (3) References;
- (4) International Search report (6 pages); and
- (5) Return postcard;

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, July 8, 2002 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Jason Berry

Name of Person Mailing Paper

Signature Jason Berry

In the Application of
Upshall et al.
Application Serial No.: 09/961,227
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Page 2

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Attorney Docket No.: STEM1170-1

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 8, 2002, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 7/8/02



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